

Topology, thermodynamics & dynamics of quantum vacuum in effective theory



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1. Introduction: effective quantum field theories

2. Quantum vacuum as topological medium

- * Fermi surface as topological object
- * Dirac (Fermi) points as topological objects
- * emergent gravity & physical laws near Dirac point (Fermi point)

3. Quantum vacuum as self-sustained dynamic system

- * effective variable for Lorentz invariant quantum vacuum
- * gravitating & non-gravitating vacuum energy
- * nullification of cosmological constant in equilibrium vacuum
- * dynamics of vacuum: cosmology as relaxation to equilibrium

4. Conclusion: natural emergence from universality of topology & thermodynamics

3+1 sources of effective Quantum Field Theories in many-body system & quantum vacuum

Lev Landau

I think it is safe to say that no one understands **Quantum Mechanics**

Richard Feynman

Thermodynamics is the only physical theory of universal content

Albert Einstein

Symmetry: conservation laws, translational invariance,
spontaneously broken symmetry, Grand Unification, ...

Topology: you can't comb the hair on a ball smooth,
anti-Grand-Unification



effective theories
of quantum liquids:
two-fluid hydrodynamics
of superfluid ^4He
& Fermi liquid theory of
liquid ^3He

missing ingredient
in Landau theories



Landau view on a many body system

many body systems are simple at low energy & temperature

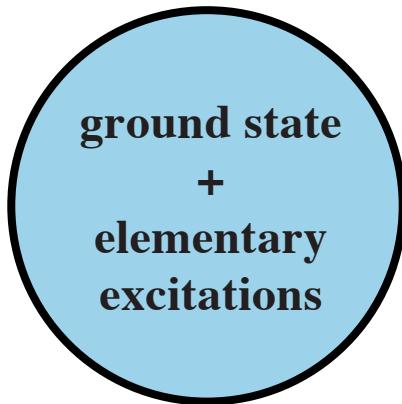
*weakly excited state of liquid
can be considered as system
of "elementary excitations"*

equally applied to:
superfluids,
solids,
&

relativistic quantum vacuum

Landau, 1941

helium liquids

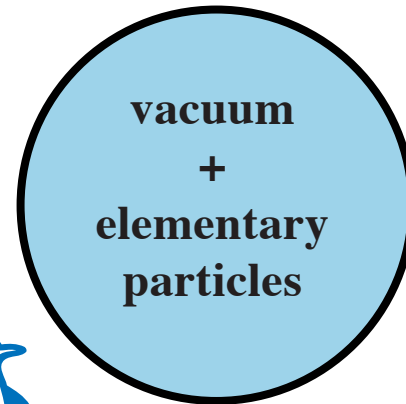


ground state = vacuum

*quasiparticles =
elementary particles*



Universe



why is low energy physics
applicable to our vacuum ?



characteristic high-energy scale in our vacuum is Planck energy

$$E_P = (hc^5/G)^{1/2} \sim 10^{19} \text{ GeV} \sim 10^{32} \text{ K}$$

**high-energy physics is
extremely ultra-low energy physics**

highest energy in accelerators

$$E_{\text{ew}} \sim 1 \text{ TeV} \sim 10^{16} \text{ K}$$

$$E_{\text{ew}} \sim 10^{-16} E_{\text{Planck}}$$

**high-energy physics & cosmology
belong to ultra-low temperature physics**

T of cosmic background radiation

$$T_{\text{CMBR}} \sim 1 \text{ K}$$

$$T_{\text{CMBR}} \sim 10^{-32} E_{\text{Planck}}$$

cosmology is extremely ultra-low frequency physics

cosmological expansion

$$v(r, t) = H(t) r$$

Hubble law

$$H \sim 10^{-60} E_{\text{Planck}}$$

Hubble parameter

our Universe is extremely close to equilibrium ground state

We should study general properties of equilibrium ground states - quantum vacua

Why no freezing at low T?

natural masses of elementary particles
are of order of characteristic energy scale
the Planck energy

$$m \sim E_{\text{Planck}} \sim 10^{19} \text{ GeV} \sim 10^{32} \text{ K}$$

*even at highest temperature
we can reach*

$$T \sim 1 \text{ TeV} \sim 10^{16} \text{ K}$$

everything should be completely frozen out



$$e^{-m/T} = 10^{-10^{16}} = 0$$



10^{-123} , $10^{-10^{16}}$
another great challenge?



main hierarchy puzzle

$$m_{\text{quarks}}, m_{\text{leptons}} \lll E_{\text{Planck}}$$



its emergent physics solution:

$$m_{\text{quarks}} = m_{\text{leptons}} = 0$$

reason:

momentum-space **topology**
of quantum vacuum

cosmological constant puzzle

$$\Lambda \lllll E_{\text{Planck}}^4$$



its emergent physics solution:

$$\Lambda = 0$$

reason:

thermodynamics
of quantum vacuum

Why no freezing at low T?



*massless particles & gapless excitations
are not frozen out*



who protects massless excitations?





who protects massless fermions?

Topology

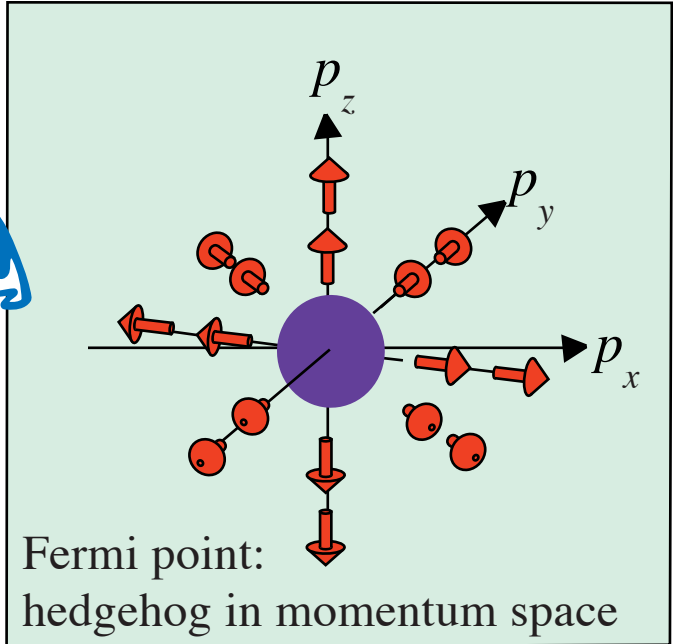


gapless fermions live near Fermi surface & Fermi point



no life without topology?

we live because Fermi point is the hedgehog protected by topology



Life protection



hedgehog is stable: one cannot comb the hair on a ball smooth



tools

Thermodynamics

responsible for properties
of vacuum energy

problems of cosmological constant:
perfect equilibrium Lorentz invariant vacuum

has $\Lambda = 0$;

perturbed vacuum has nonzero Λ
on order of perturbation

$$\Lambda \lllll E_{\text{Planck}}^4$$

Topology in momentum space

responsible for properties of
fermionic and bosonic quantum fields
in the background of quantum vacuum

Fermi point in momentum space
protected by topology is a source of
massless Weyl fermions, gauge fields & gravity

$$m_{\text{quarks}}, m_{\text{leptons}} \lll E_{\text{Planck}}$$

Topology:

Quantum vacuum as topological substance, universality classes

physics at low T is determined by gapless excitations



*in metals low-lying excitations
live near Fermi surface*

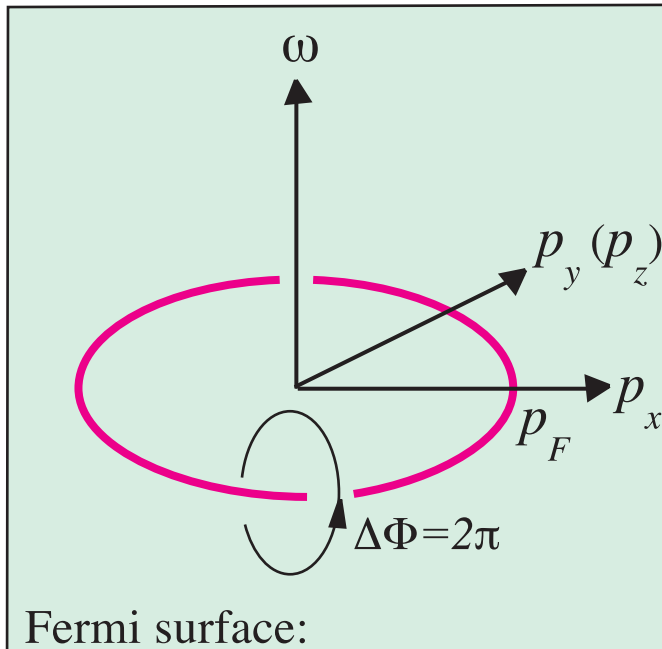
or near Fermi point



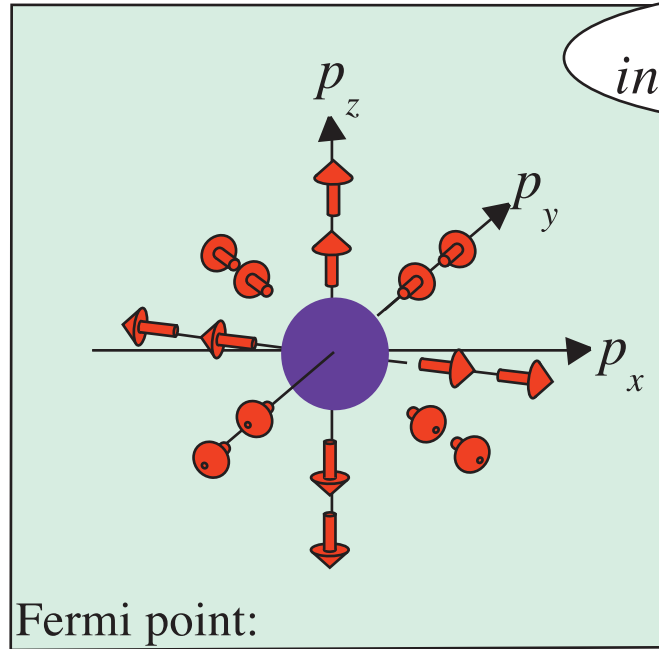
universality classes of gapless vacua

Fermi surface class

Fermi point class



Fermi surface:
vortex line in \mathbf{p} -space



Fermi point:
hedgehog in \mathbf{p} -space

*topology
in momentum space*



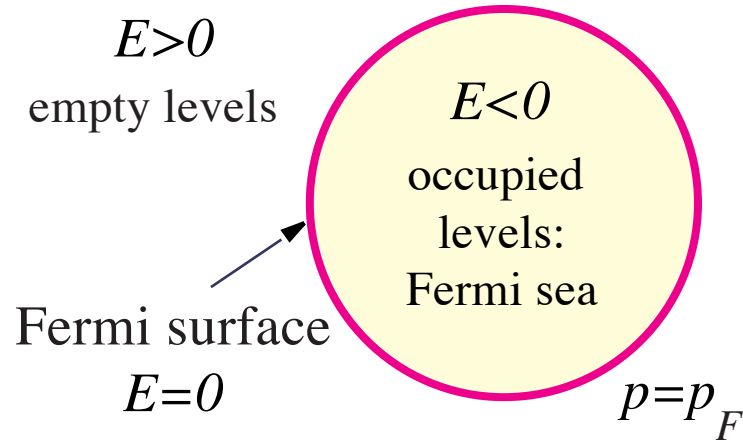
**topology is robust
to deformations:
nodes in spectrum
survive interaction**

Horava, Kitaev, Ludwig, Schnyder, Ryu, Furusaki, ...

Topological stability of Fermi surface

Energy spectrum of non-interacting gas of fermionic atoms

$$E(p) = \frac{p^2}{2m} - \mu = \frac{p^2}{2m} - \frac{p_F^2}{2m}$$



*is Fermi surface a domain wall
in momentum space?*

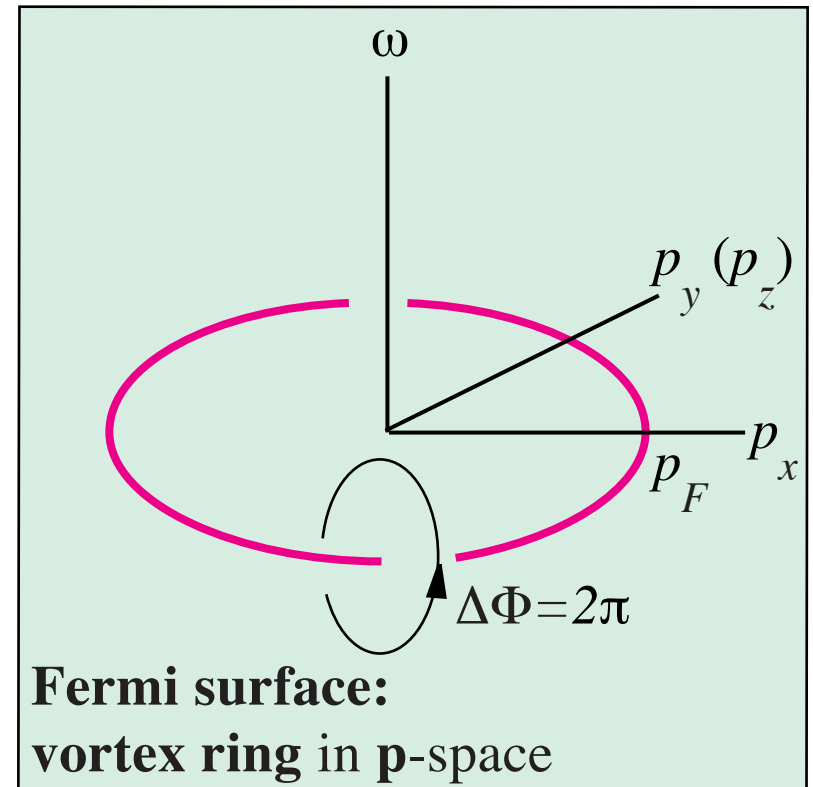


no!
it is a vortex ring



Green's function

$$G^{-1} = i\omega - E(p)$$



phase of Green's function

$$G(\omega, \mathbf{p}) = |G| e^{i\Phi}$$

has winding number $N = 1$

Route to Landau Fermi-liquid

is Fermi surface robust to interaction ?

Sure! Because of topology:

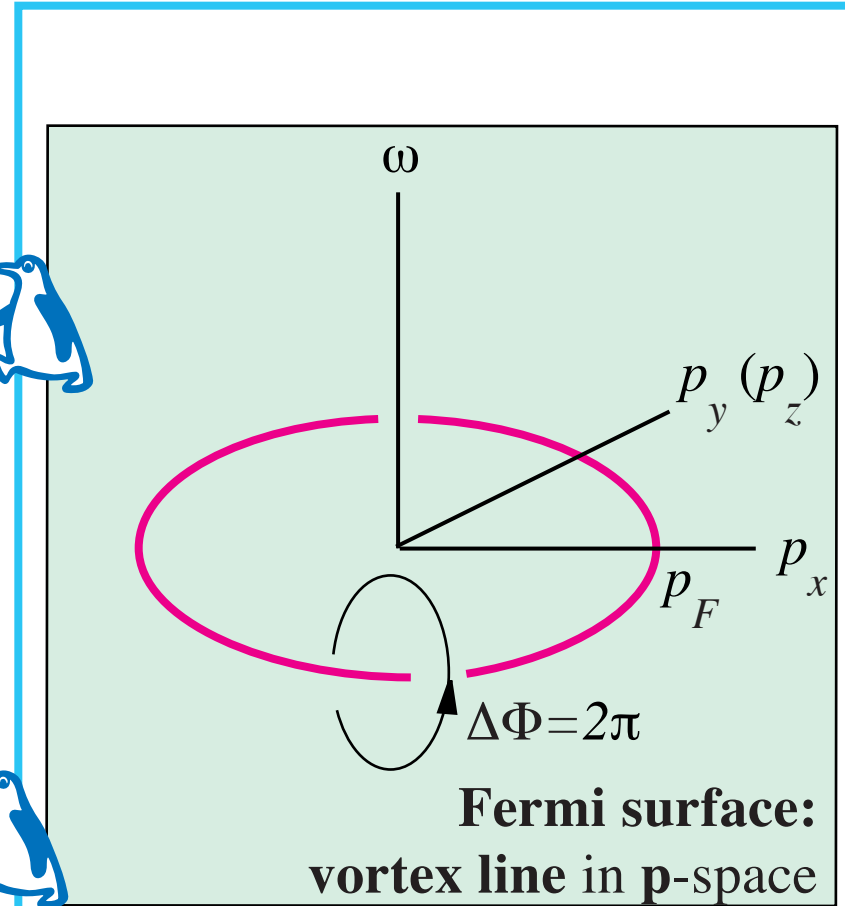
winding number $N=1$ cannot change continuously,
interaction cannot destroy singularity

then Fermi surface survives in Fermi liquid ?

**Landau theory of Fermi liquid
is topologically protected & thus is universal**

all metals have Fermi surface ...

Not only metals.
Some superconductore too!

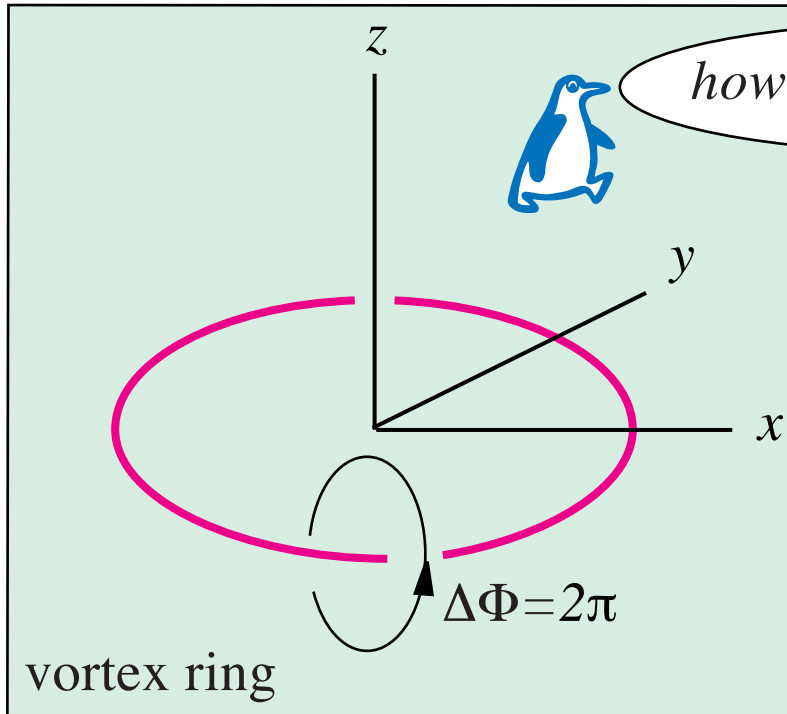


$$G(\omega, \mathbf{p}) = |G| e^{i\Phi}$$

quantized vortex in \mathbf{r} -space \equiv Fermi surface in \mathbf{p} -space

homotopy group π_1

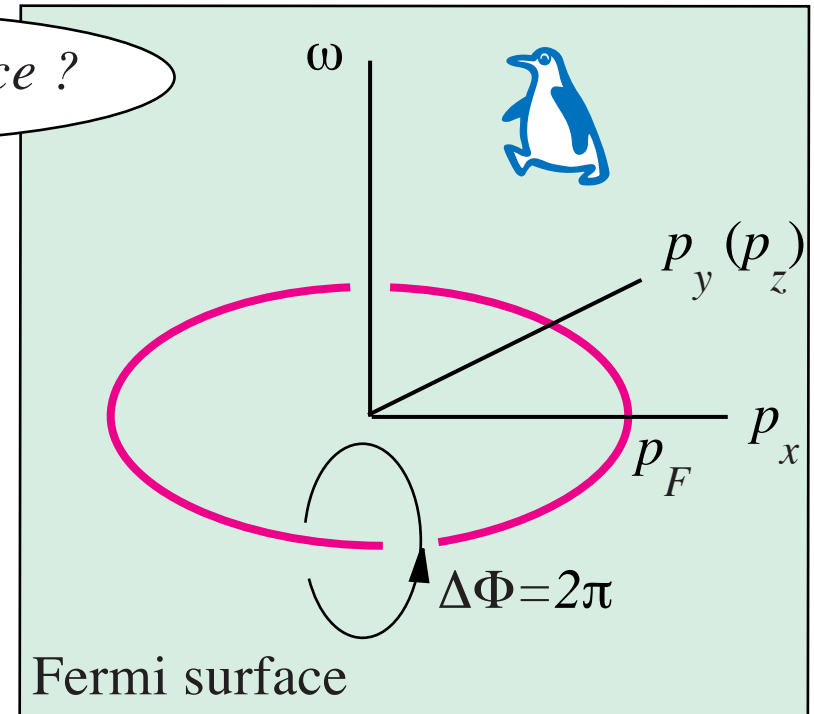
Topology in \mathbf{r} -space



how is it in \mathbf{p} -space ?

winding number
 $N_1 = 1$

Topology in \mathbf{p} -space



$$\Psi(\mathbf{r}) = |\Psi| e^{i\Phi}$$

scalar order parameter
of superfluid & superconductor

$$G(\omega, \mathbf{p}) = |G| e^{i\Phi}$$

Green's function (propagator)

classes of mapping $S^1 \rightarrow U(1)$

manifold of
broken symmetry vacuum states

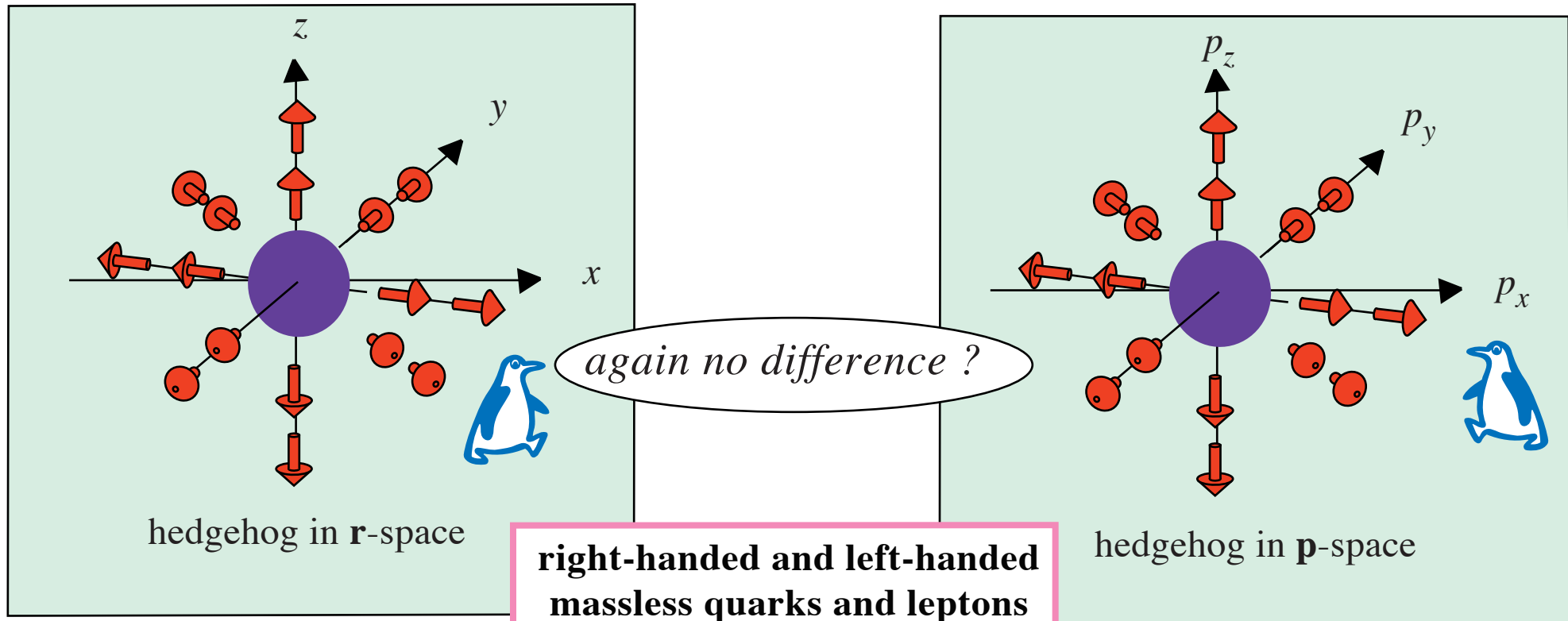
classes of mapping $S^1 \rightarrow GL(n, \mathbb{C})$

space of
non-degenerate complex matrices

Topology: Fermi (Dirac) point universality class

Superfluid $^3\text{He-A}$, quantum vacuum of Standard Model, semimetal, graphene, ...

magnetic hedgehog vs right-handed electron



$$\sigma(\mathbf{r}) = \hat{\mathbf{r}}$$

**right-handed and left-handed
massless quarks and leptons
are elementary particles
in Standard Model**

hedgehog in \mathbf{p} -space

$$\sigma(\mathbf{p}) = \hat{\mathbf{p}}$$

**Landau CP symmetry
is emergent**

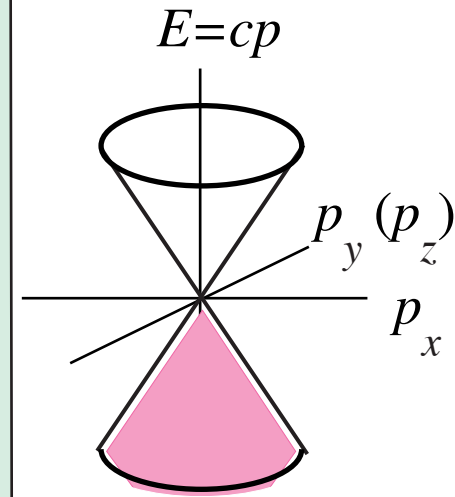
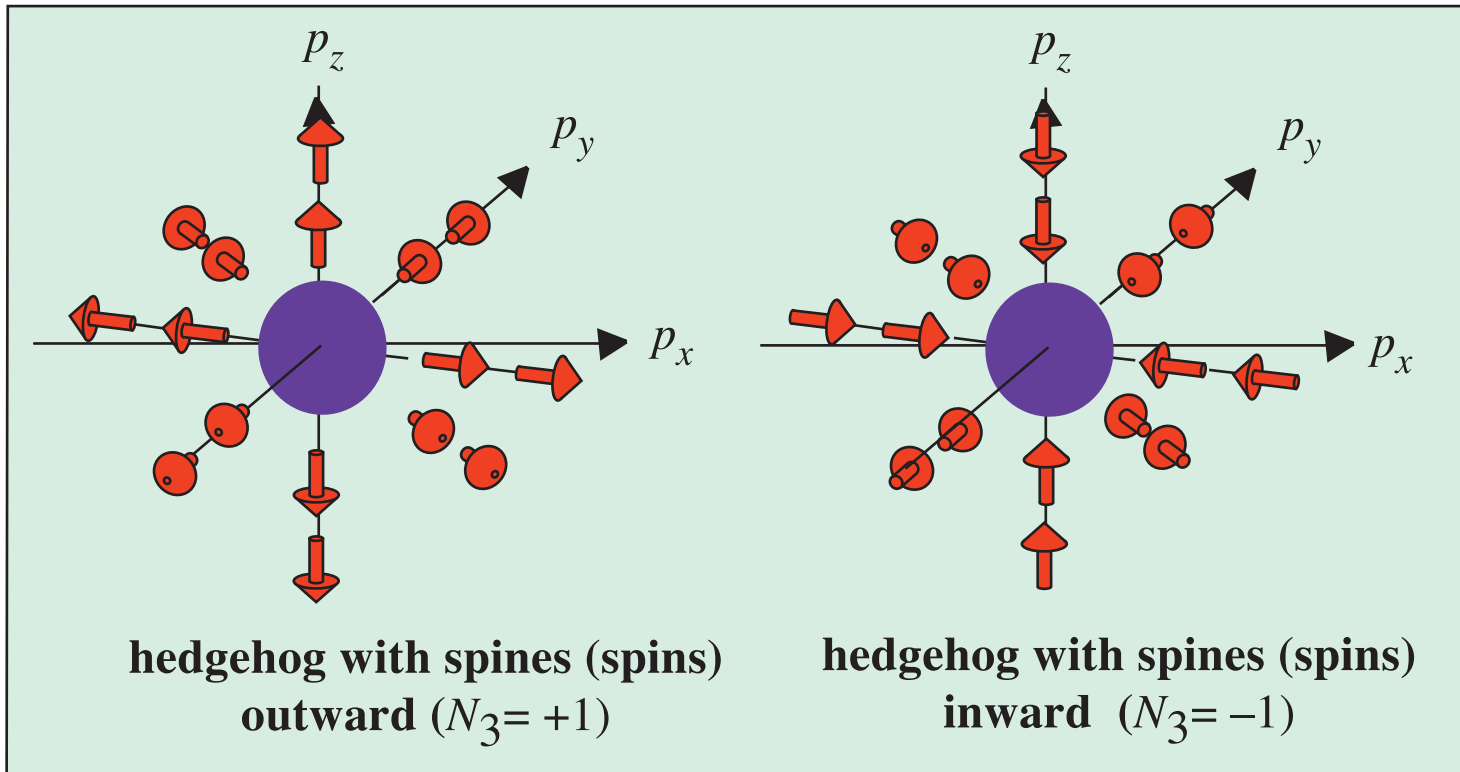
close to Fermi point

$$H = +c \boldsymbol{\sigma} \cdot \mathbf{p}$$

right-handed electron =

hedgehog in \mathbf{p} -space with spines = spins

Topological invariant for right-handed and left-handed elementary particles



right
neutrino

$$H = +c \boldsymbol{\sigma} \cdot \mathbf{p}$$

$$\mathbf{g}(\mathbf{p}) = +c\mathbf{p}$$

$$H = \boldsymbol{\sigma} \cdot \mathbf{g}(\mathbf{p})$$

$$H = -c \boldsymbol{\sigma} \cdot \mathbf{p}$$

$$\mathbf{g}(\mathbf{p}) = -c\mathbf{p}$$

left
neutrino

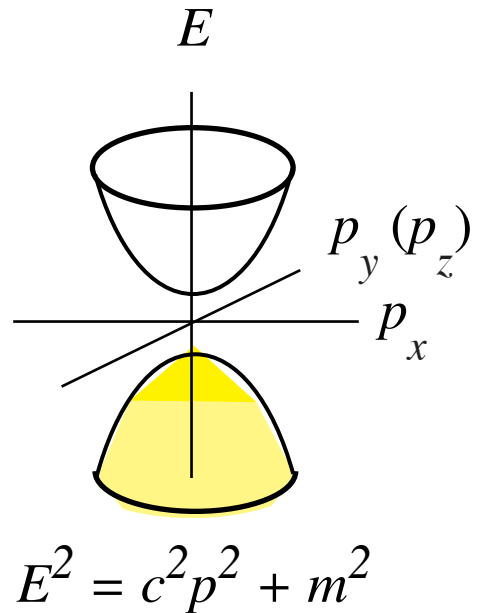
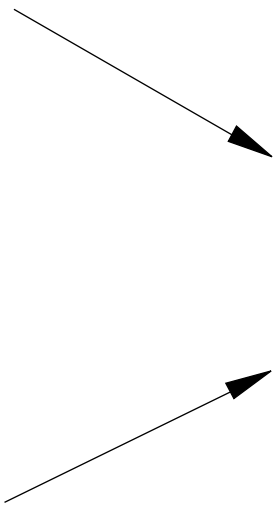
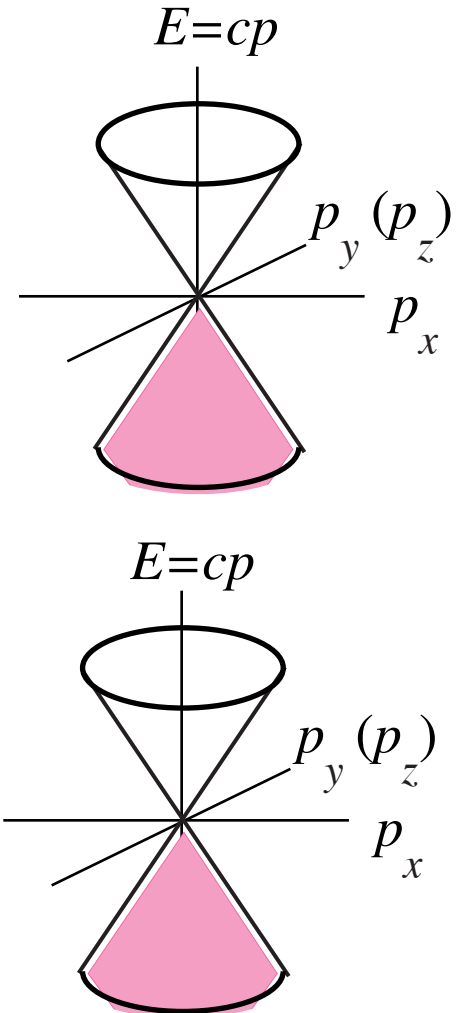
$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface around Fermi point}} dS^i \hat{\mathbf{g}} \cdot (\partial^j \hat{\mathbf{g}} \times \partial^k \hat{\mathbf{g}})$$





where are Dirac particles?

Dirac particle - composite object made of left and right particles



mixing of left and right particles is secondary effect, which occurs at extremely low temperature

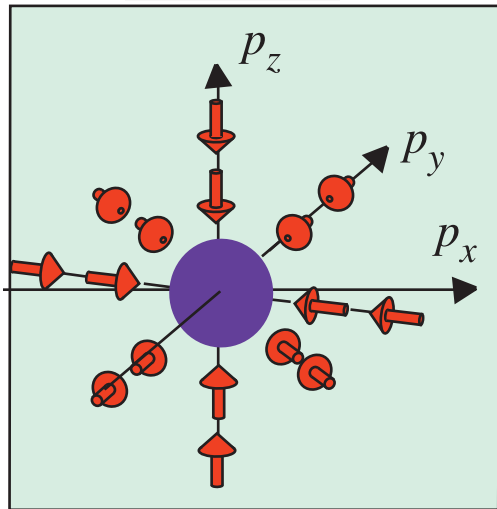


$$T_{ew} \sim 1 \text{ TeV} \sim 10^{16} \text{ K}$$

Chiral fermions in Standard Model

Family #1 of quarks and leptons

left particles



hedgehog with
spines (spins)
inward ($N_3 = -1$)

$+2/3$ u_L $+1/6$	$-1/3$ d_L $+1/6$
$+2/3$ u_L $+1/6$	$-1/3$ d_L $+1/6$
$+2/3$ u_L $+1/6$	$-1/3$ d_L $+1/6$

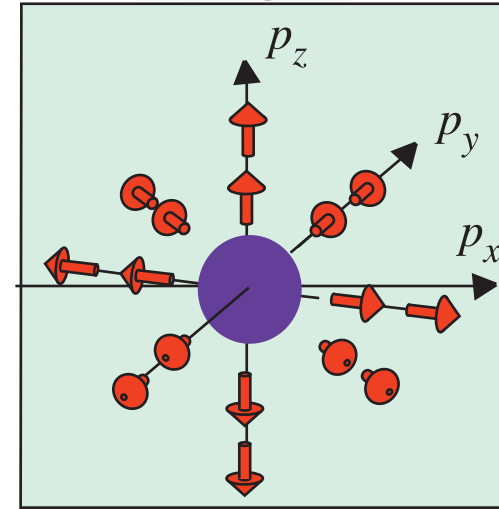
quarks

$SU(3)_C$

$+2/3$ u_R $+2/3$
$+2/3$ u_R $+2/3$
$+2/3$ u_R $+2/3$

$-1/3$ d_R $-1/3$
$-1/3$ d_R $-1/3$
$-1/3$ d_R $-1/3$

right particles



hedgehog with
spines (spins)
outward ($N_3 = +1$)

0 ν_L $-1/2$	-1 e_L $-1/2$
--------------------------	-------------------------

leptons

0 ν_R 0

-1 e_R -1

$$H = -c \sigma \cdot \mathbf{p}$$

$$N_3 = -1$$

$$H = +c \sigma \cdot \mathbf{p}$$

$$N_3 = +1$$

$$N_3 = \frac{1}{24\pi^2} \epsilon_{\mu\nu\lambda\gamma} \text{tr} \int_{\text{over 3D surface S in 4D momentum space}} dS^\gamma \mathbf{G}^\mu \mathbf{G}^{-1} \mathbf{G}^\nu \mathbf{G}^{-1} \mathbf{G}^\lambda \mathbf{G}^{-1}$$

general topological invariant
in terms of Green's function

*life exists at low T
because Fermi points are stable ?*

right !



Fermi (Dirac) points in 3+1 gapless topological matter

topologically protected point nodes in:

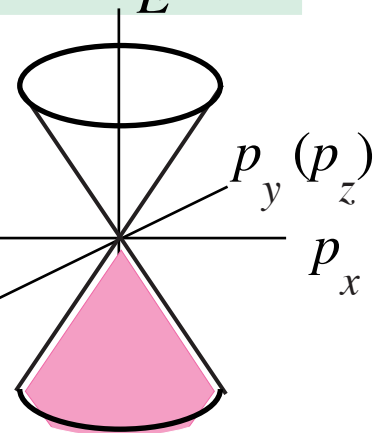
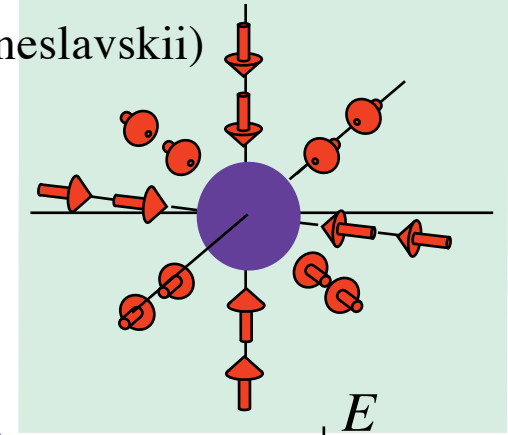
superfluid $^3\text{He-A}$, triplet cold Fermi gases, semi-metal (Abrikosov-Beneslavskii)

$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface S in 3D p-space}} dS^k \hat{\mathbf{g}} \cdot (\partial_{p_i} \hat{\mathbf{g}} \times \partial_{p_j} \hat{\mathbf{g}})$$

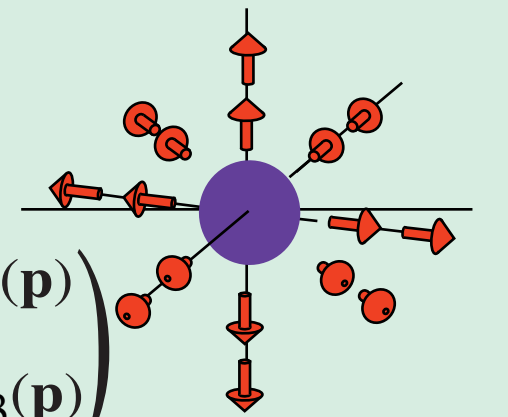
Gap node - Fermi point
(anti-hedgehog)

$$N_3 = -1$$

$$N_3 = 1$$



Gap node - Fermi point
(hedgehog)



$$\mathbf{H} = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix}$$

emergence of relativistic QFT near Fermi (Dirac) point

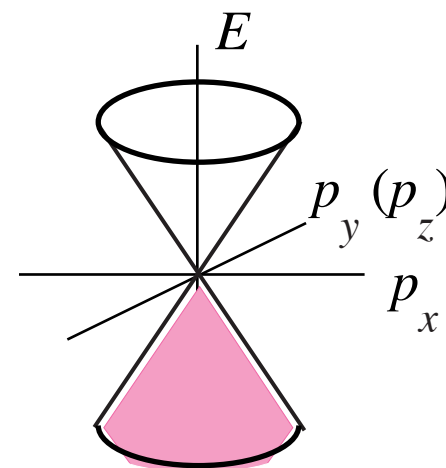
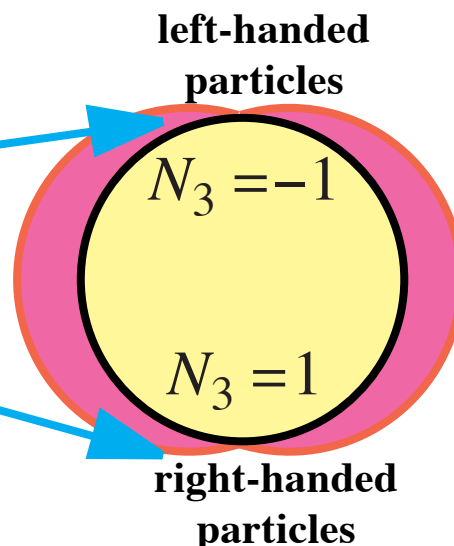
original non-relativistic Hamiltonian

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix} = \boldsymbol{\tau} \cdot \mathbf{g}(\mathbf{p})$$

close to nodes, i.e. in low-energy corner
relativistic chiral fermions emerge

$$H = N_3 c \boldsymbol{\tau} \cdot \mathbf{p}$$

$$E = \pm cp$$



chirality is emergent ??

*top. invariant determines chirality
in low-energy corner*

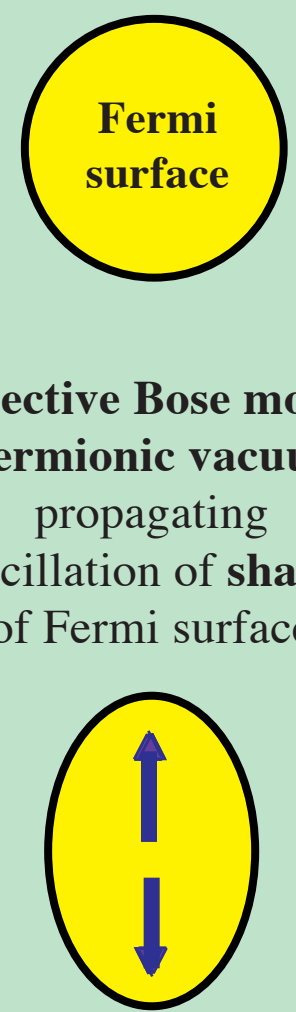
what else is emergent ?

relativistic invariance as well



bosonic collective modes in two generic fermionic vacua

Landau theory of Fermi liquid

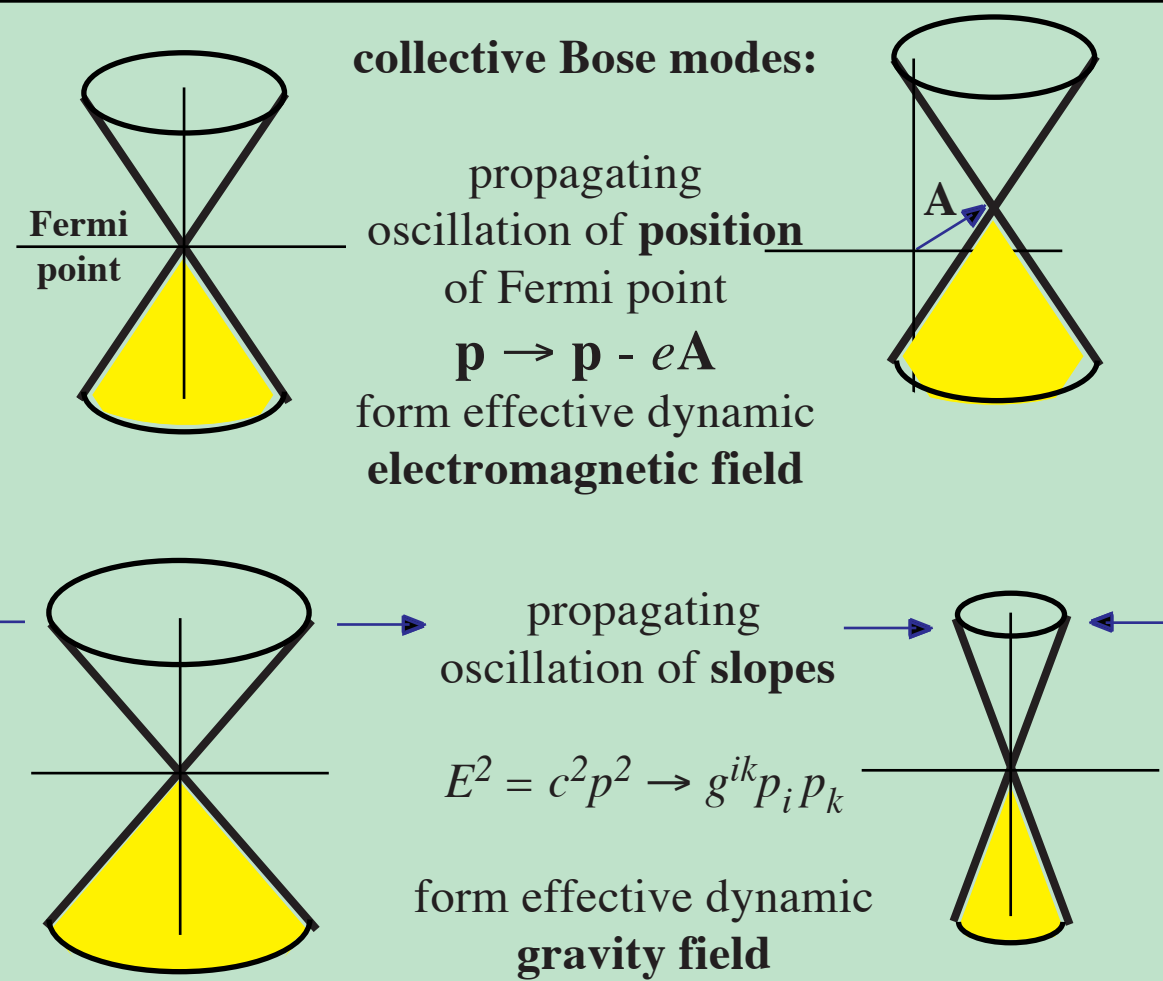


Fermi surface

collective Bose modes of fermionic vacuum:
propagating oscillation of **shape** of Fermi surface

Landau, ZhETF **32**, 59 (1957)

Standard Model + gravity



collective Bose modes:

propagating oscillation of **position** of Fermi point
 $\mathbf{p} \rightarrow \mathbf{p} - e\mathbf{A}$
 form effective dynamic **electromagnetic field**

propagating oscillation of **slopes**
 $E^2 = c^2 p^2 \rightarrow g^{ik} p_i p_k$
 form effective dynamic **gravity field**

two generic quantum field theories of interacting bosonic & fermionic fields

relativistic quantum fields and gravity emerging near Fermi point

Atiyah-Bott-Shapiro construction:

linear expansion of Hamiltonian near the nodes in terms of Dirac Γ -matrices

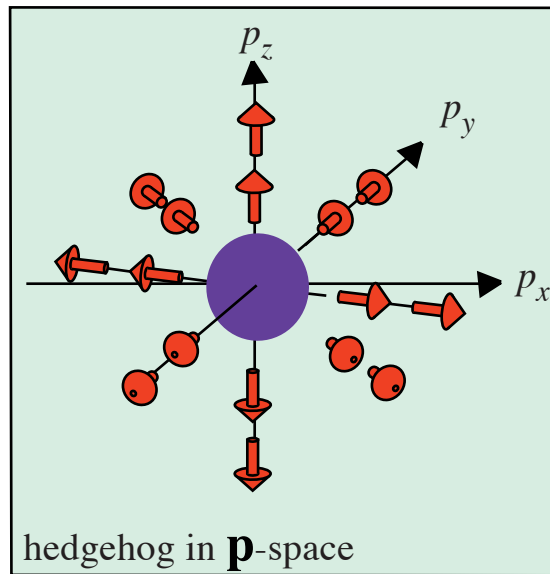
$$E = v_F (p - p_F)$$

linear expansion near
Fermi surface

$$H = e_i^k \Gamma^i \cdot (p_k - p_k^0)$$

linear expansion near
Fermi point

emergent relativity



$$g^{\mu\nu} (p_\mu - eA_\mu - e\tau \cdot \mathbf{W}_\mu) (p_\nu - eA_\nu - e\tau \cdot \mathbf{W}_\nu) = 0$$

effective metric:
emergent gravity

effective
 $SU(2)$ gauge
field

effective
isotopic spin

effective
electromagnetic
field

effective
electric charge

$e = +1$ or -1

all ingredients of Standard Model :
chiral fermions & gauge fields
emerge in low-energy corner

together with spin, Dirac Γ -matrices, gravity & physical laws:
Lorentz & gauge invariance, equivalence principle, etc

*gravity & gauge fields
are collective modes
of vacua with Fermi point*



crossover from Landau 2-fluid hydrodynamics to Einstein general relativity

they represent two different limits of hydrodynamic type equations

equations for $g^{\mu\nu}$ depend on hierarchy of ultraviolet cut-off's:
Planck energy scale E_{Planck} vs Lorentz violating scale E_{Lorentz}



$E_{\text{Planck}} \gg E_{\text{Lorentz}}$
**emergent Landau
two-fluid hydrodynamics**

$E_{\text{Planck}} \ll E_{\text{Lorentz}}$
**emergent general covariance
& general relativity**



$^3\text{He-A}$ with Fermi point

$E_{\text{Lorentz}} \ll E_{\text{Planck}}$
 $E_{\text{Lorentz}} \sim 10^{-3} E_{\text{Planck}}$

Universe

$E_{\text{Lorentz}} \gg E_{\text{Planck}}$
 $E_{\text{Lorentz}} > 10^9 E_{\text{Planck}}$

Conclusion to topological medium part

Momentum-space topology determines:

universality classes of quantum vacua

effective field theories in these quantum vacua

topological quantum phase transitions (Lifshitz, plateau, etc.)

quantization of Hall and spin-Hall conductivity

topological Chern-Simons & Wess-Zumino terms

quantum statistics of topological objects

spectrum of edge states & fermion zero modes on walls & quantum vortices

chiral anomaly & vortex dynamics, etc.

3. Thermodynamics & dynamics of Lorentz invariant vacuum

quantum vacuum as self-sustained system

dynamics of cosmological 'constant'

dark energy problem

recipe to cook Universe



Dark Energy	70%
Dark Matter	30%
Baryonic Matter	4%
Visible Matter	0,4%



*Dark and Dark!
What is the difference?*



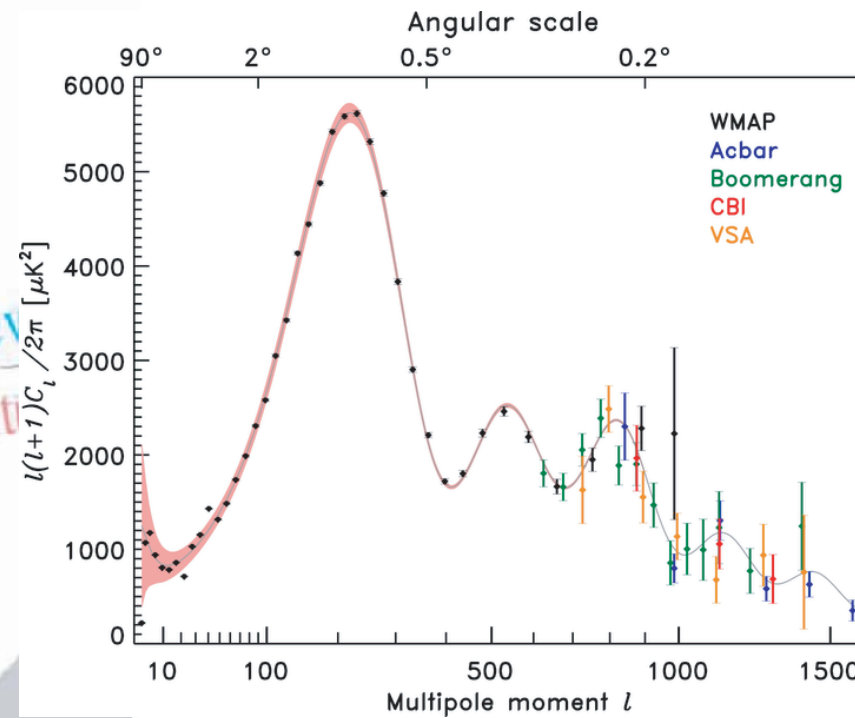
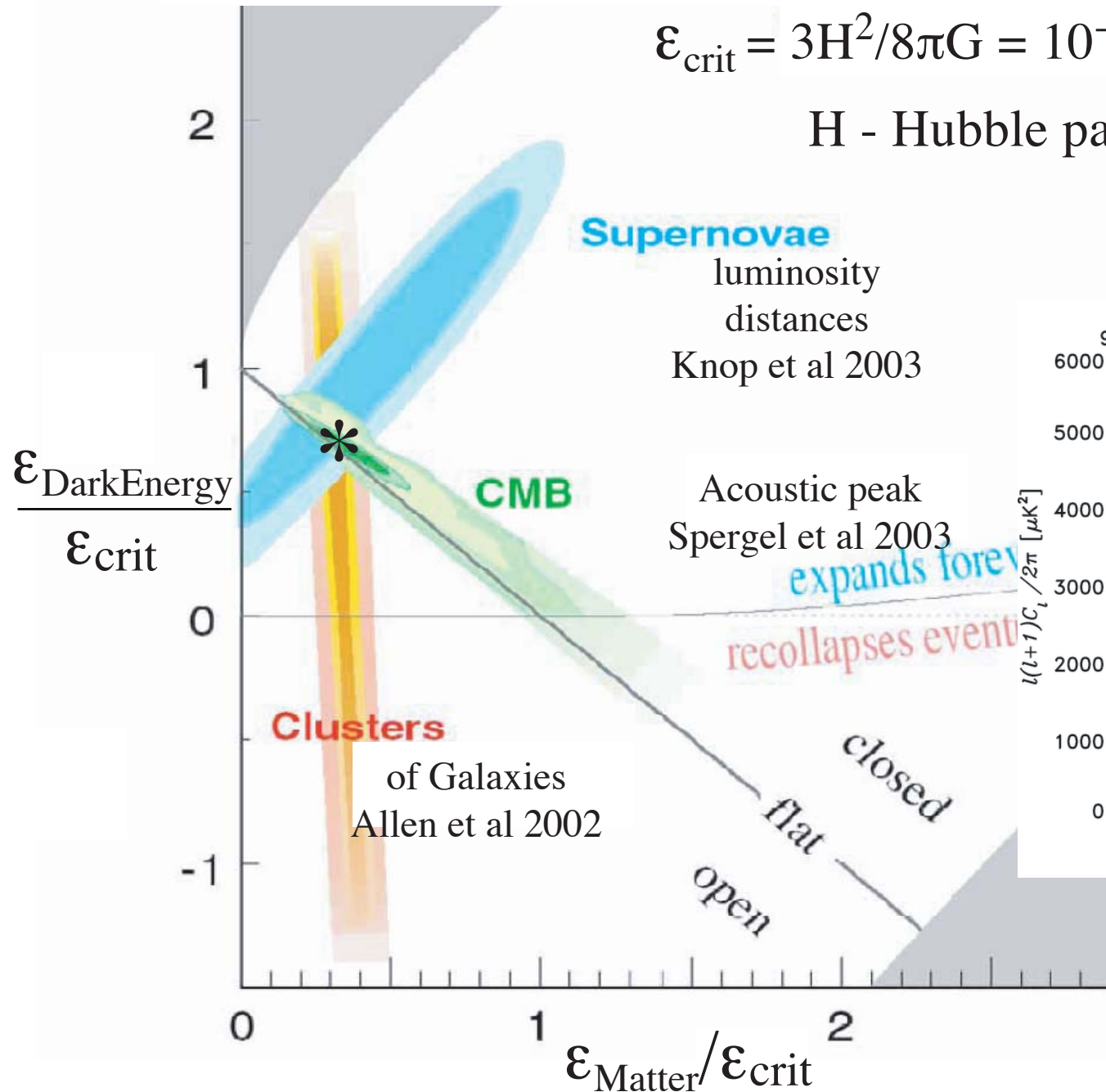
*dark matter forms clusters
like ordinary matter*



cosmological constant Λ is possible candidate for dark energy

$$\Lambda = \epsilon_{\text{Dark Energy}}$$

observational cosmology:
dark energy vs dark matter



Cosmological Term

* Original Einstein equations

$$\frac{1}{8\pi G} (R_{\mu\nu} - g_{\mu\nu}R/2) = T_{\mu\nu}^{\text{Matter}}$$

matter is a source of gravity field



* 1917: Einstein added the cosmological term

$$\frac{1}{8\pi G} (R_{\mu\nu} - g_{\mu\nu}R/2) - \Lambda g_{\mu\nu} = T_{\mu\nu}^{\text{Matter}}$$

↑
cosmological constant

& obtained static solution: Universe as 3D sphere

$$\Lambda = 0.5 \varepsilon_{\text{Matter}} = 1/(8\pi GR^2)$$

↑
energy density of matter

↑
radius of 3D sphere

$$x_1^2 + x_2^2 + x_3^2 + x_4^2 = R^2$$



*it is finite
but no boundaries ?!*

perfect Universe !



*but it is curved!
I would prefer to live in flat Universe*



Estimation of cosmological constant

when I was discussing cosmological problems with Einstein, he remarked that the introduction of the cosmological term was the biggest blunder of his life

-- George Gamow, My World Line, 1970

$$\Lambda = 0.5 \epsilon_{\text{Matter}} = 1/(8\pi GR^2)$$

compare with observed $\Lambda = 2.3 \epsilon_{\text{Matter}}$



*order of magnitude is OK, why blunder?
this was correct estimation of Λ*



*the first and the last one:
after 90 years nobody could improve it*



arguments against Λ !



* 1917: de Sitter found stationary solution of Einstein equations without matter

$$\frac{1}{8\pi G} (R_{\mu\nu} - g_{\mu\nu}R/2) - \Lambda g_{\mu\nu} = 0$$

no source of gravity field !



What?

empty space gravitates !?



1923: expanding version of de Sitter Universe:

$$R = \exp(Ht) \quad H^2 = 3/(8\pi\Lambda G)$$

* 1924: Hubble : Universe is not stationary

* 1929: Hubble : recession of galaxies

**Wenn schon keine quasi-statische Welt,
dann fort mit dem kosmologischen Glied.**

A. Einstein \rightarrow H. Weyl, 23 Mai 1923

*Away with curvature !
and with cosmological term !*

Wait !

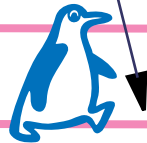


**the question arises whether it is possible
to represent the observed facts
without introducing a curvature at all.**

Einstein & de Sitter, PNAS 18 (1932) 213

Epoch of quantum mechanics: Λ as vacuum energy

$$\frac{1}{8\pi G} (R_{\mu\nu} - g_{\mu\nu}R/2) - \Lambda g_{\mu\nu} = T_{\mu\nu}^{\text{Matter}}$$



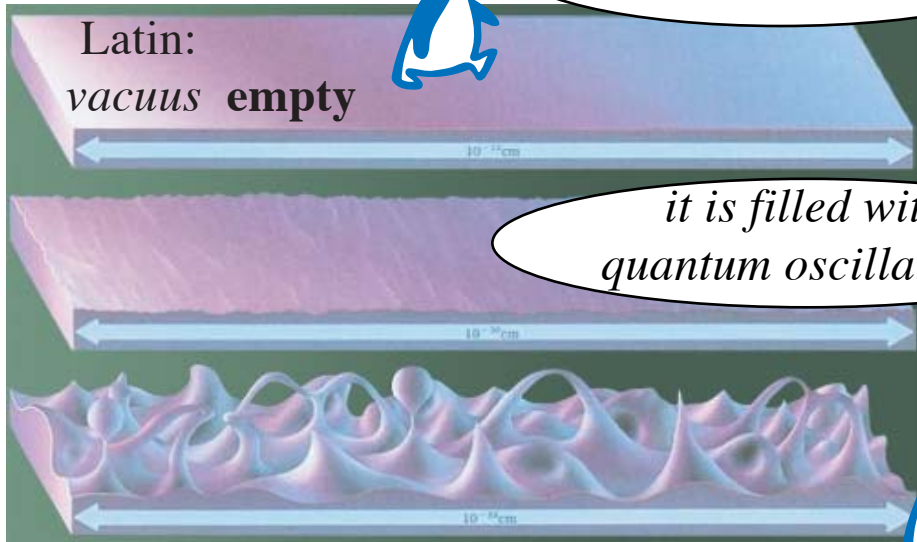
move Λ to the right



$$\frac{1}{8\pi G} (R_{\mu\nu} - g_{\mu\nu}R/2) = \Lambda g_{\mu\nu} + T_{\mu\nu}^{\text{Matter}}$$

physical vacuum as source of gravity

vacuum is not empty ?



it is filled with quantum oscillations



zero point energy has weight ?



quantum field is set of oscillators

$$E_n = h\nu(n + 1/2)$$

$n = 0$: zero point energy of quantum fluctuations



*you do not believe Einstein?
energy must gravitate !*



Equation of state of quantum vacuum

$$\frac{1}{8\pi G} (R_{\mu\nu} - g_{\mu\nu}R/2) = T_{\mu\nu}^{\text{Vacuum}} + T_{\mu\nu}^{\text{Matter}}$$

$$T_{\mu\nu}^{\text{Vacuum}} = \Lambda g_{\mu\nu}$$

$$\Lambda = \epsilon_{\text{vac}} = -p_{\text{vac}}$$

energy density
of vacuum

pressure
of vacuum

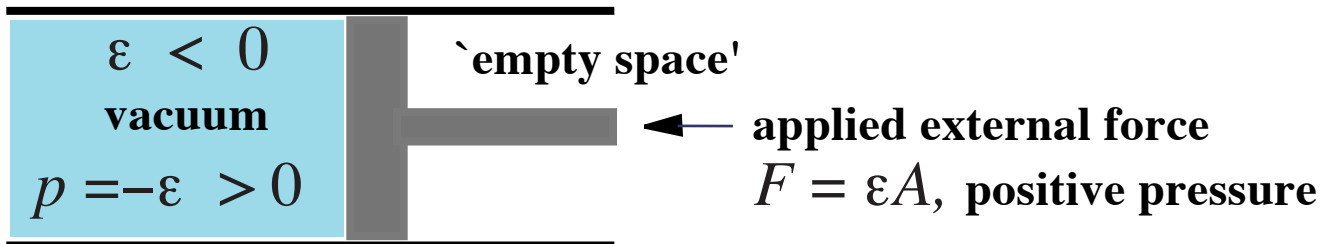
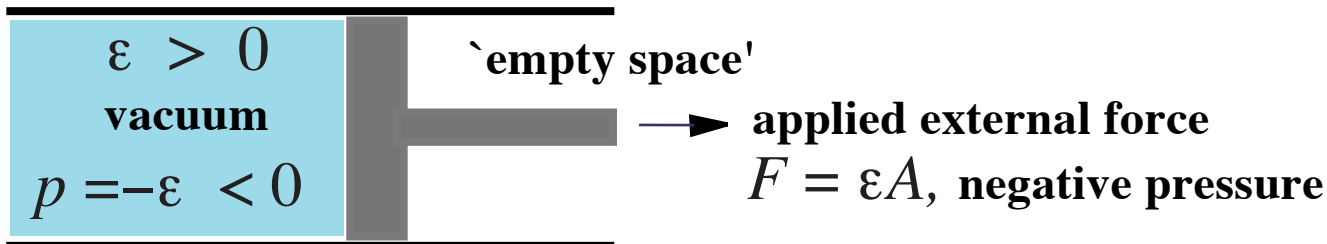
what is vacuum?

*vacuum is
medium with equation of state*

$$\epsilon = -p$$

right!

pumping the vacuum by piston



$$E_{\text{vac}} = \epsilon_{\text{vac}} V$$

$$p_{\text{vac}} = -dE/dV = -\epsilon_{\text{vac}}$$

How heavy is aether?

Luminiferous aether (photons)



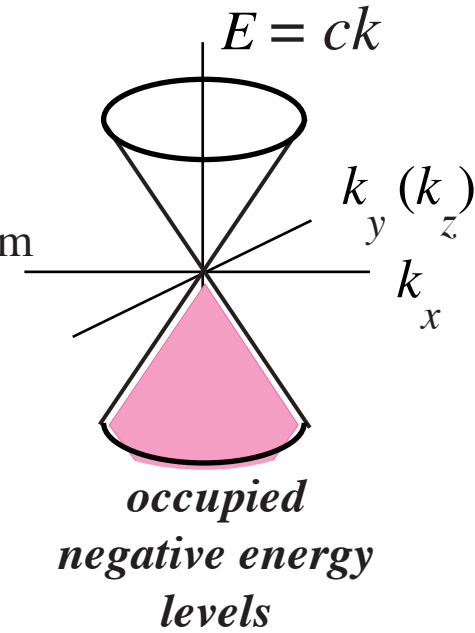
Dirac vacuum

$$\sum_{\mathbf{k}} (n(\mathbf{k}) + 1/2) ck$$

photonic vacuum:
 $n(\mathbf{k})=0$

weight
of photon vacuum

weight
of Dirac vacuum



$$\epsilon_{\text{zero point}} + \epsilon_{\text{Dirac}} = (1/2) \sum_{\text{bosons}} E(\mathbf{k}) - \sum_{\text{fermions}} E(\mathbf{k}) = (\nu_b - \nu_f) c k^4_{\text{Planck}}$$

zero-point energy

energy of Dirac vacuum

number
of bosonic
fields

number
of Dirac
fields

Planck scale

$$\epsilon_{\text{zero point}} \sim 10^{120} \Lambda_{\text{upper limit}}$$

vacuum is too heavy!



maybe $\Lambda = 0$?!



supersymmetry:
symmetry between fermions and bosons

$$\nu_b = \nu_f$$

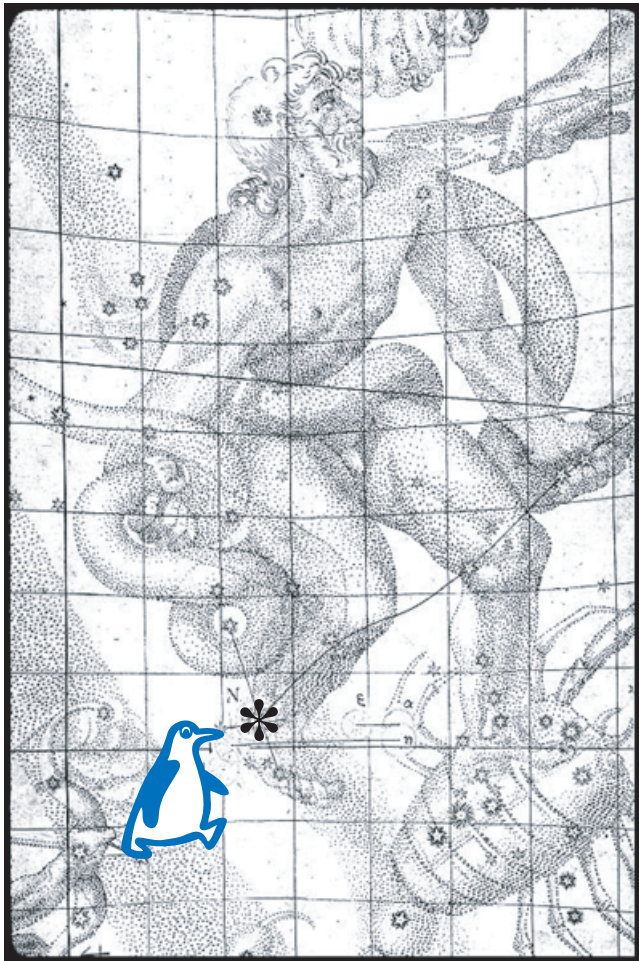
there is no supersymmetry below TeV



Λ in supernova era

Kepler's Supernova 1604

from 'De Stella Nova in Pede Serpentarii'



distant supernovae: accelerating Universe

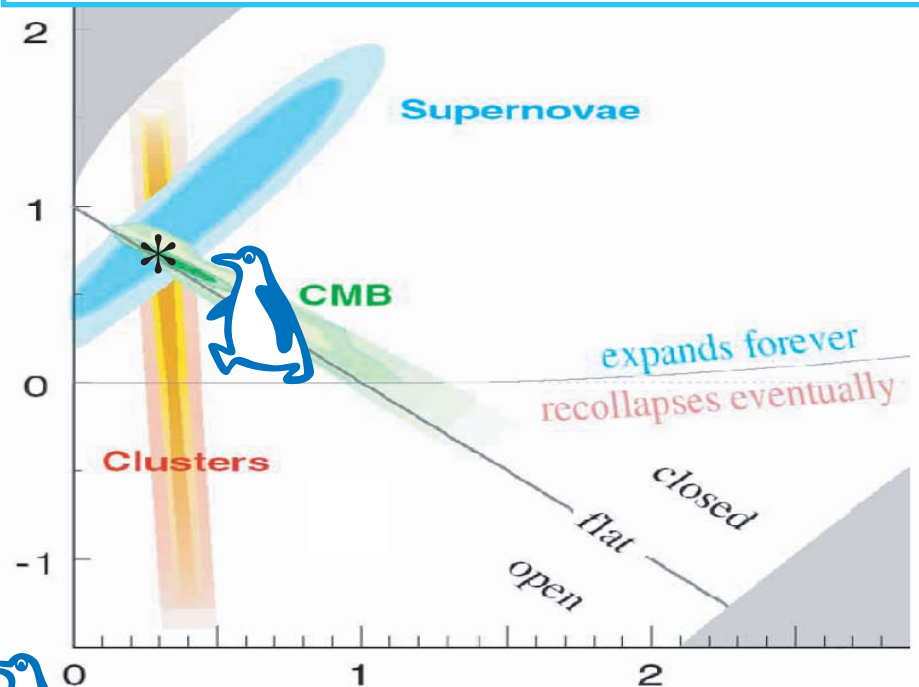
(Perlmutter et al., Riess et al.)

$$\Lambda_{\text{exp}} = 2-3 \epsilon_{\text{Dark Matter}} = 10^{-123} \epsilon_{\text{zero point}}$$

$$\Lambda = \epsilon_{\text{vac}} = \epsilon_{\text{Dark Energy}} = 70\%$$

$$\epsilon_{\text{Dark Matter}} = 30\%$$

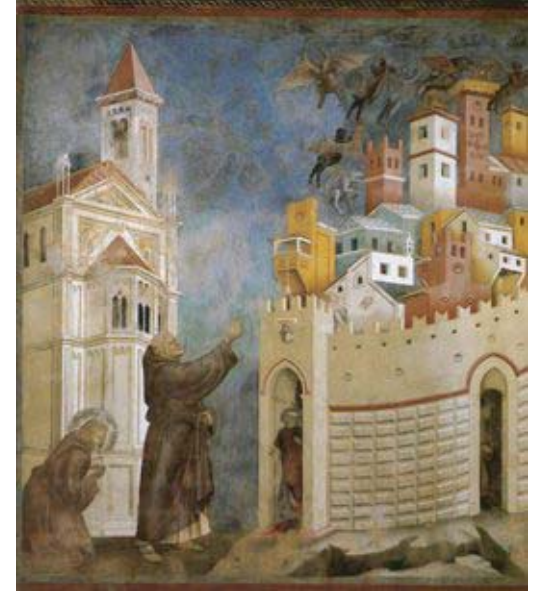
$$\epsilon_{\text{Visible Matter}} = 0,4\%$$



Universe is flat!

you are right,
but Λ is not zero

$$\Lambda_{\text{exp}} = 2\text{-}3 \epsilon_{\text{Dark Matter}} = 10^{-123} \epsilon_{\text{zero point}}$$



*it is easier to accept that $\Lambda = 0$
than 123 orders smaller*

magic word: *regularization*

wisdom of particle physicist:

$$\frac{1}{0} = 0$$

What can condensed matter physicist say on Λ ?

Why condensed matter ???!

Cosmological constant paradox

$$\Lambda_{\text{observation}} = \epsilon_{\text{Dark Energy}} \sim 2-3 \epsilon_{\text{DM}} \sim 10^{-47} \text{ GeV}^4$$

$$\Lambda_{\text{theory}} = \epsilon_{\text{zero point energy}} \sim E_{\text{Planck}}^4 \sim 10^{76} \text{ GeV}^4$$

$$\Lambda_{\text{observation}} \sim 10^{-123} \Lambda_{\text{Theory}}$$

too bad for theory



problems:

- * **Why is vacuum not extremely heavy?**
- * **Why is vacuum gravitating? Why is Λ non-zero?**
- * **Why is vacuum as heavy as (dark) matter ?**

*it is easier to accept that $\Lambda=0$
than 123 orders of magnitude smaller*



$$\Lambda_{\text{exp}} \sim 2\text{-}3 \epsilon_{\text{Dark Matter}} \sim 10^{-123} \Lambda_{\text{bare}}$$

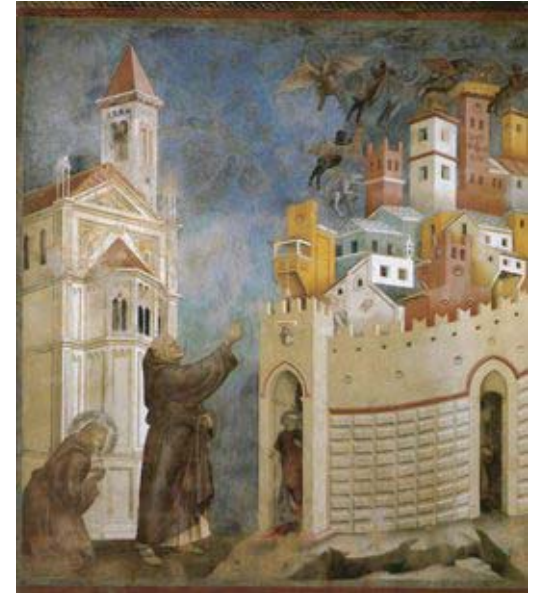
$$\Lambda_{\text{bare}} \sim \epsilon_{\text{zero point}}$$

*it is easier to accept that $\Lambda = 0$ than 123 orders smaller

*magic word: *regularization*

wisdom of particle physicist:

$$\frac{1}{0} = 0$$



*Polyakov conjecture: dynamical screening of Λ by infrared fluctuations of metric

A.M. Polyakov

Phase transitions and the Universe, UFN **136**, 538 (1982)

De Sitter space and eternity, Nucl. Phys. **B 797**, 199 (2008)



*Dynamical evolution of Λ similar to that of gap Δ in superconductors after kick



V. Gurarie, Nonequilibrium dynamics of weakly and strongly paired superconductors: 0905.4498

A.F. Volkov & S.M. Kogan, JETP **38**, 1018 (1974)

Barankov & Levitov, ...

what is natural value of cosmological constant ?


$$\Lambda = E_{\text{Planck}}^4$$


$$\Lambda = 0$$

time dependent cosmological constant


$$\Lambda \sim E_{\text{Planck}}^4$$

could be in early Universe

$$\Lambda \sim 0$$


should be in old Universe

how to describe quantum vacuum & vacuum energy Λ ?

* quantum vacuum has equation of state $w=-1$

$$\Lambda = \epsilon_{\text{vac}} = w_{\text{vac}} P_{\text{vac}}$$

* quantum vacuum is Lorentz-invariant

$$w_{\text{vac}} = -1$$

* quantum vacuum is a self-sustained medium,
which may exist in the absence of environment

* for that, vacuum must be described by conserved charge q

q is analog of particle density n in liquids

q must be Lorentz invariant

$$L q = q$$

*charge density n
is not Lorentz invariant*

$$L n = \gamma(n + \mathbf{v} \cdot \mathbf{j})$$

Hawking suggested to introduce special field which describes the vacuum only
Hawking, Phys. Lett. B **134**, 403 (1984)

does such q exist ?

relativistic invariant conserved charges q

possible

$$\nabla_{\alpha} q^{\alpha\beta} = 0$$

$$\nabla_{\alpha} q^{\alpha\beta\mu\nu} = 0$$

$$q^{\alpha\beta} = q g^{\alpha\beta}$$

$$q^{\alpha\beta\mu\nu} = q e^{\alpha\beta\mu\nu}$$

Duff & van Nieuwenhuizen
Phys. Lett. **B 94**, 179 (1980)

impossible

$$\nabla_{\alpha} q^{\alpha} = 0$$

$$q^{\alpha} = ?$$

examples of vacuum variable q

4-form field

$$F_{\kappa\lambda\mu\nu} = \nabla_{[\kappa} A_{\lambda\mu\nu]}$$

$$F_{\kappa\lambda\mu\nu} = q (-g)^{1/2} e_{\kappa\lambda\mu\nu}$$

$$q^2 = -\frac{1}{24} F_{\kappa\lambda\mu\nu} F^{\kappa\lambda\mu\nu}$$

gluon condensates in QCD

$$\langle \mathbf{G}_{\alpha\beta} \mathbf{G}_{\mu\nu} \rangle = \frac{q}{12} (g_{\alpha\mu} g_{\beta\nu} - g_{\alpha\nu} g_{\beta\mu})$$

$$q = \langle \mathbf{G}_{\alpha\beta} \mathbf{G}^{\alpha\beta} \rangle \quad \langle \mathbf{G}_{\alpha\beta} \rangle = 0$$

$$\langle \mathbf{G}_{\alpha\beta} \mathbf{G}_{\mu\nu} \rangle = \frac{q}{24} (-g)^{1/2} e_{\alpha\beta\mu\nu}$$

$$q = \langle \tilde{\mathbf{G}}_{\alpha\beta} \mathbf{G}^{\alpha\beta} \rangle \quad \text{topological charge density}$$

Einstein-aether theory (T. Jacobson, A. Dolgov)

$$\nabla_{\mu} u_{\nu} = q g_{\mu\nu}$$

thermodynamics in flat space

the same as in cond-mat

conserved
charge Q

$$Q = \int dV q$$

thermodynamic
potential

$$\Omega = E - \mu Q = \int dV (\varepsilon(q) - \mu q)$$

Lagrange multiplier
or chemical potential μ

pressure

$$P = -dE/dV = -\varepsilon + q d\varepsilon/dq$$
$$E = V \varepsilon(Q/V)$$

$$d\Omega/dq \Big|_{\mu} = 0$$

equilibrium vacuum

$$d\varepsilon/dq = \mu$$

equilibrium self-sustained vacuum

$$d\varepsilon/dq = \mu$$

$$\varepsilon - q d\varepsilon/dq = -P = 0$$

vacuum energy & cosmological constant

equilibrium self-sustained vacuum

$$d\varepsilon/dq = \mu$$

$$\varepsilon - q d\varepsilon/dq = -P = 0$$

$$q \sim \mu \sim E_{\text{Planck}}^2$$

**vacuum variable
in equilibrium
self-sustained vacuum**

$$\varepsilon(q) \sim E_{\text{Planck}}^4$$

**energy
of equilibrium
self-sustained vacuum**

pressure

$$P = -\varepsilon + q d\varepsilon/dq = -\Omega$$

$$\Lambda = \Omega = \varepsilon - \mu q$$

**cosmological
constant**

$$P = -\Omega$$

equation of state

$$\Lambda = \varepsilon - \mu q = 0$$

**cosmological
constant
in equilibrium
self-sustained
vacuum**

***self-tuning:*
two Planck-scale quantities
cancel each other
in equilibrium self-sustained vacuum**

$$E_{\text{Planck}}^4$$

$$E_{\text{Planck}}^4$$

dynamics of q in flat space

whatever is the origin of q the motion equation for q is the same

action $S = \int dV dt \varepsilon(q)$

motion equation $\nabla_{\kappa} (d\varepsilon/dq) = 0$

solution $d\varepsilon/dq = \mu$

integration constant μ in dynamics becomes chemical potential in thermodynamics

4-form field $F_{\kappa\lambda\mu\nu}$ as an example of conserved charge q in relativistic vacuum

$$q^2 = - \frac{1}{24} F_{\kappa\lambda\mu\nu} F^{\kappa\lambda\mu\nu}$$

$$F_{\kappa\lambda\mu\nu} = \nabla_{[\kappa} A_{\lambda\mu\nu]}$$

$$F^{\kappa\lambda\mu\nu} = q e^{\kappa\lambda\mu\nu}$$

Maxwell equation

$$\nabla_{\kappa} (F^{\kappa\lambda\mu\nu} q^{-1} d\varepsilon/dq) = 0$$

$$\nabla_{\kappa} (d\varepsilon/dq) = 0$$



dynamics of q in curved space

4-form field and chiral condensate

action

$$S = \int d^4x (-g)^{1/2} [\epsilon(q) + K(q)R] + S_{\text{matter}}$$

gravitational coupling $K(q)$ is determined by vacuum and thus depends on vacuum variable q

q becomes dynamical only when K depends on q

motion equation

$$d\epsilon/dq + R dK/dq = \mu \quad \text{integration constant}$$

Einstein equations

$$K(Rg_{\mu\nu} - 2R_{\mu\nu}) + (\epsilon - \mu q)g_{\mu\nu} - 2(\nabla_{\mu}\nabla_{\nu} - g_{\mu\nu}\nabla^{\lambda}\nabla_{\lambda})K = T_{\mu\nu}$$

Einstein tensor

cosmological term $\neq \epsilon g_{\mu\nu}$

$$\nabla_{\mu} T^{\mu\nu} = 0$$

matter

case of $K=const$ restores original Einstein equations

$$K = \frac{1}{16\pi G}$$

G - Newton constant

**motion
equation**

$$d\varepsilon/dq = \mu \quad q = \text{const}$$

**original
Einstein
equations**

$$\frac{1}{16\pi G} (Rg_{\mu\nu} - 2R_{\mu\nu}) + \Lambda g_{\mu\nu} = T_{\mu\nu}$$

$$\Lambda = \varepsilon - \mu q$$

Λ - cosmological constant

Minkowski solution

Maxwell equations

$$d\varepsilon/dq + R dK/dq = \mu$$

Einstein equations

$$K(Rg_{\mu\nu} - 2R_{\mu\nu}) + (\varepsilon - \mu q)g_{\mu\nu} - 2(\nabla_{\mu}\nabla_{\nu} - g_{\mu\nu}\nabla^{\lambda}\nabla_{\lambda})K = T_{\mu\nu}$$

Einstein tensor

cosmological term

matter

$$\nabla_{\mu} T^{\mu\nu} = 0$$

Minkowski vacuum solution

$$R = 0 \quad d\varepsilon/dq = \mu$$

$$\Lambda = \varepsilon(q) - \mu q = 0$$

vacuum energy in action: $\varepsilon(q) \sim E_{\text{Planck}}^4$

thermodynamic vacuum energy: $\varepsilon - \mu q = 0$

Model vacuum energy

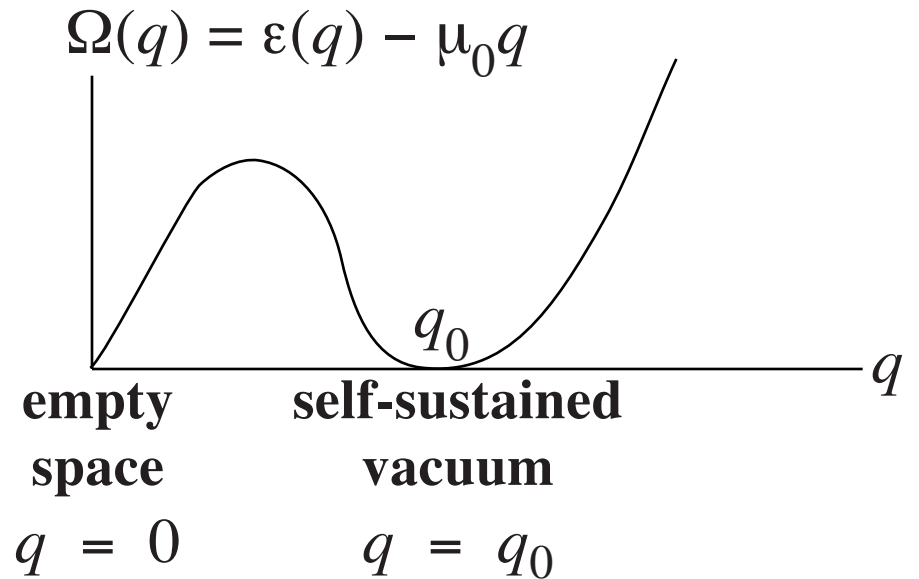
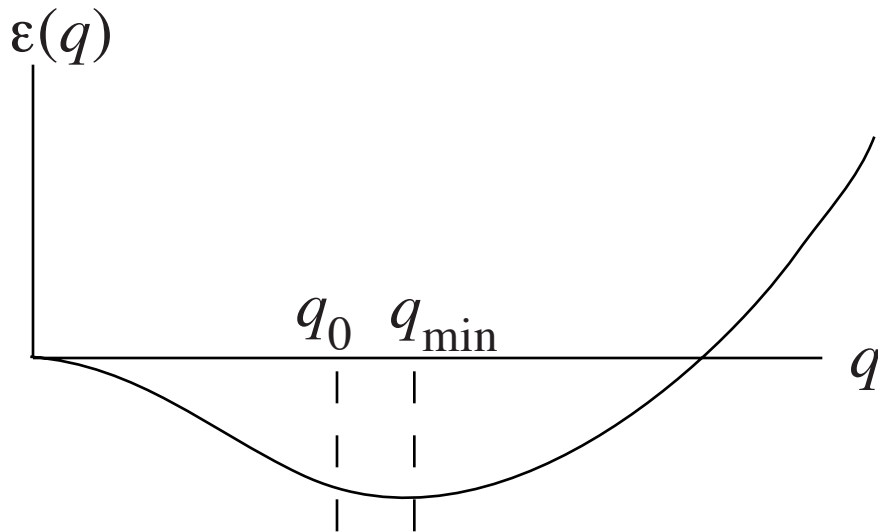
$$\varepsilon(q) = \frac{1}{2\chi} \left(-\frac{q^2}{q_0^2} + \frac{q^4}{3q_0^4} \right)$$

Minkowski vacuum solution

$$\begin{aligned} d\varepsilon/dq &= \mu \\ \varepsilon - \mu q &= 0 \end{aligned}$$



$$\begin{aligned} q &= q_0 \\ \mu &= \mu_0 = -\frac{1}{3\chi q_0} \end{aligned}$$



vacuum compressibility

$$\chi = -\frac{1}{V} \frac{dV}{dP}$$

$$\frac{1}{\chi} = \left(q^2 \frac{d^2\varepsilon}{dq^2} \right)_{q=q_0} > 0 \quad \text{vacuum stability}$$

Minkowski vacuum (q-independent properties)

$$\Lambda = \Omega_{\text{vac}} = -P_{\text{vac}}$$

↑
↑
energy density **pressure**
of vacuum **of vacuum**

$$P_{\text{vac}} = -dE/dV = -\Omega_{\text{vac}}$$

$$\chi_{\text{vac}} = -(1/V) dV/dP$$

compressibility of vacuum

$$\langle (\Delta P_{\text{vac}})^2 \rangle = T/(V\chi_{\text{vac}})$$

$$\langle (\Delta\Lambda)^2 \rangle = \langle (\Delta P)^2 \rangle$$

pressure fluctuations

*natural value of Λ
determined by macroscopic
physics*

$$\Lambda = 0$$

*natural value of χ_{vac}
determined by microscopic
physics*

$$1/\chi_{\text{vac}} = q^2 d^2\varepsilon_{\text{vac}}/dq^2$$

$$\chi_{\text{vac}} \sim E_{\text{Planck}}^{-4}$$

*volume of Universe
is large:*

$$V > T_{\text{CMB}}/(\Lambda^2\chi_{\text{vac}})$$

$$V > 10^{28} V_{\text{hor}}$$



dynamics of q in curved space: relaxation of Λ at fixed $\mu=\mu_0$

Maxwell
equations

$$d\varepsilon/dq + R dK/dq = \mu_0$$

Einstein
equations

$$K(Rg_{\mu\nu} - 2R_{\mu\nu}) + g_{\mu\nu} \Lambda(q) - 2(\nabla_\mu \nabla_\nu - g_{\mu\nu} \nabla^\lambda \nabla_\lambda) K = T_{\mu\nu}^{\text{matter}}$$

$$\Lambda(q) = \varepsilon(q) - \mu_0 q$$

dynamic
solution

$$q(t) - q_0 \sim q_0 \frac{\sin \omega t}{t}$$

$$\Lambda(t) \sim \omega^2 \frac{\sin^2 \omega t}{t^2}$$

$$H(t) = \frac{\dot{a}(t)}{a(t)} = \frac{2}{3t} (1 - \cos \omega t)$$

$$\omega \sim E_{\text{Planck}}$$

similar to scalar field with mass $M \sim E_{\text{Planck}}$
A.A. Starobinsky, Phys. Lett. **B 91**, 99 (1980)

Relaxation of Λ (generic q-independent properties)

$$\Lambda(t) \sim \omega^2 \frac{\sin^2 \omega t}{t^2}$$

cosmological "constant"

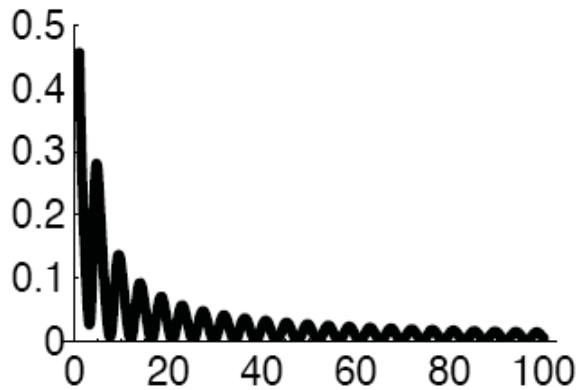
$$H(t) = \frac{\dot{a}(t)}{a(t)} = \frac{2}{3t} (1 - \cos \omega t)$$

Hubble parameter

$$\omega \sim E_{\text{Planck}}$$

$$G(t) = G_N \left(1 + \frac{\sin \omega t}{\omega t} \right)$$

Newton "constant"



$$\langle \Lambda(t_{\text{Planck}}) \rangle \sim E_{\text{Planck}}^4$$

$$\Lambda(t = \infty) = 0$$

natural solution of the main cosmological problem ?

**Λ relaxes from natural Planck scale value
to natural zero value**

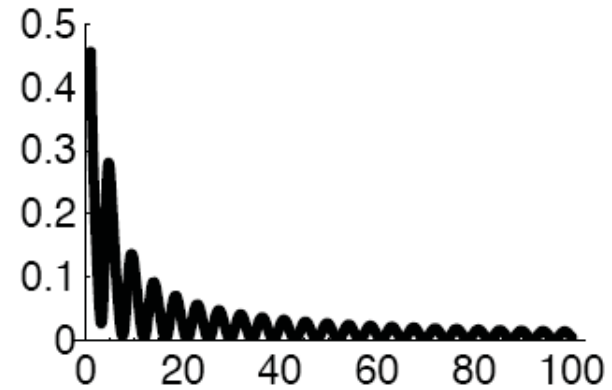


present value of Λ

$$\Lambda(t) \sim \omega^2 \frac{\sin^2 \omega t}{t^2}$$

$$\omega \sim E_{\text{Planck}}$$

**dynamics of Λ :
from Planck to present value**



$$\langle \Lambda(t_{\text{Planck}}) \rangle \sim E_{\text{Planck}}^4$$

$$\langle \Lambda(t_{\text{present}}) \rangle \sim E_{\text{Planck}}^2 / t_{\text{present}}^2 \sim 10^{-120} E_{\text{Planck}}^4$$

coincides with present value of dark energy
something to do with coincidence problem ?



Dynamical evolution of Λ similar to that of gap Δ in superconductors after kick

dynamics of Λ in cosmology

$$\Lambda(t) \sim \omega^2 \frac{\sin^2 \omega t}{t^2}$$

$$\omega \sim E_{\text{Planck}}$$

F.R. Klinkhamer & G.E. Volovik
 Dynamic vacuum variable &
 equilibrium approach in cosmology
 PRD **78**, 063528 (2008)
 Self-tuning vacuum variable &
 cosmological constant,
 PRD **77**, 085015 (2008)

nonequilibrium vacuum with $\Lambda \sim E_{\text{Planck}}^4$

superconductor with nonequilibrium gap Δ

dynamics of Δ in superconductor

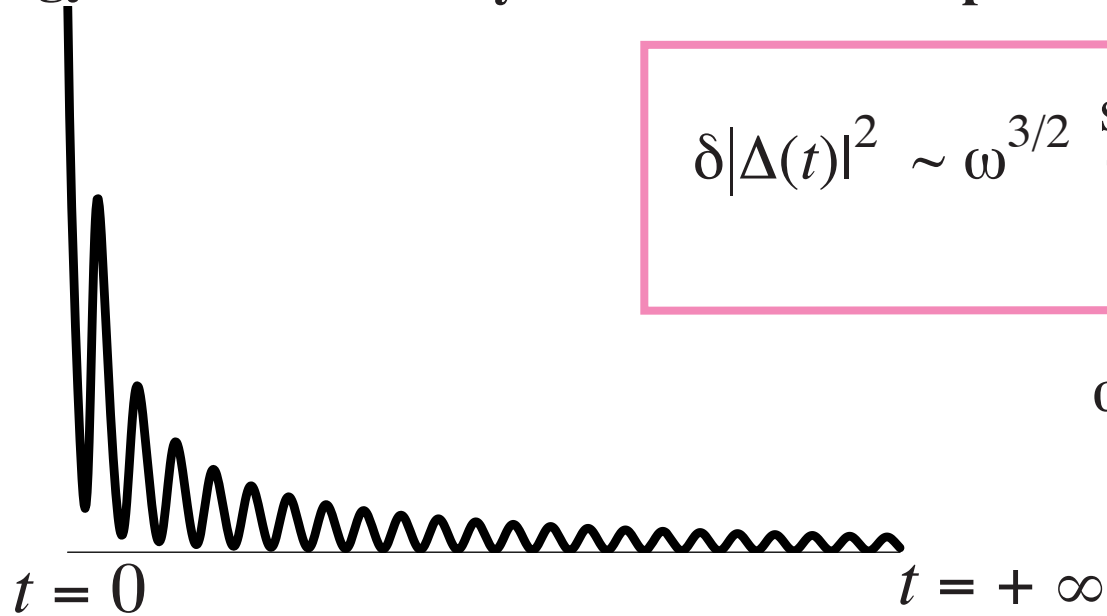
$$\delta|\Delta(t)|^2 \sim \omega^{3/2} \frac{\sin \omega t}{t^{1/2}}$$

$$\omega = 2\Delta$$

equilibrium vacuum with $\Lambda = 0$

ground state of superconductor

$$\varepsilon(t) - \varepsilon_{\text{vac}} \sim \omega \frac{\sin^2 \omega t}{t}$$



initial states:

final states:

V. Gurarie, Nonequilibrium dynamics of weakly and strongly paired superconductors: 0905.4498

A.F. Volkov & S.M. Kogan, JETP **38**, 1018 (1974)

Barankov & Levitov, ...

reversibility of the process

$$\Lambda(t) \sim \omega^2 \frac{\sin^2 \omega t}{t^2}$$

$$\omega \sim E_{\text{Planck}}$$

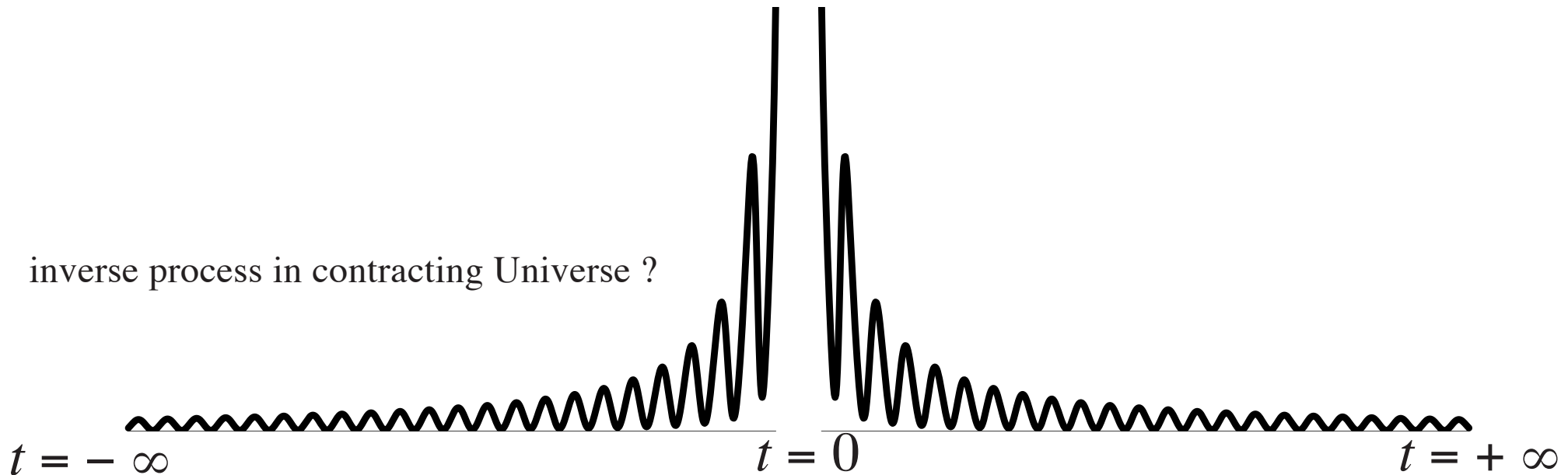
reversible energy transfer
from vacuum to gravity

$$\delta|\Delta(t)|^2 \sim \omega^{3/2} \frac{\sin \omega t}{t^{1/2}}$$

$$\omega = 2\Delta$$

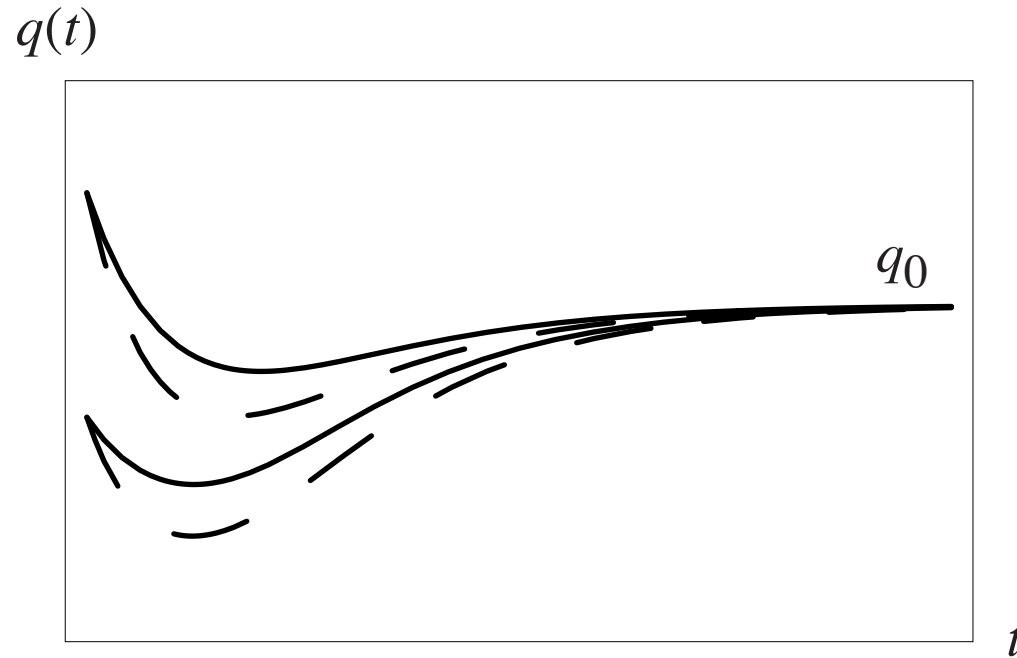
reversible energy transfer
from coherent degree of freedom (vacuum)
to particles (Landau damping)

inverse process in contracting Universe ?



Minkowski vacuum as attractor

allow μ to relax (Dolgov model)



both μ & q relax to equilibrium values μ_0 & q_0
cosmological constant Λ relaxes to zero

F. Klinkhamer & GV

Towards a solution of the cosmological constant problem

JETP Lett. **91**, 259 (2009)

Conclusion to thermodynamic part:

properties of relativistic quantum vacuum as a self-sustained system

- * quantum vacuum is characterized by conserved charge q
 q has Planck scale value in equilibrium

$$\varepsilon(q) \sim E_{\text{Planck}}^4$$

- * vacuum energy has Planck scale value in equilibrium
but this energy is not gravitating

$$T_{\mu\nu} = \Lambda g_{\mu\nu} \neq \varepsilon(q) g_{\mu\nu}$$

- * gravitating energy
is thermodynamic vacuum energy

$$\Omega(q) = \varepsilon - q d\varepsilon/dq$$

$$T_{\mu\nu} = \Lambda g_{\mu\nu} = \Omega(q) g_{\mu\nu}$$

- * thermodynamic energy
of equilibrium vacuum

$$\Omega(q_0) = \varepsilon(q_0) - q_0 d\varepsilon/dq_0 = 0$$

- * cosmology as relaxation to equilibrium vacuum

general conclusion

- * emergent physics must be based on universal features, which do not depend on details of microscopic (Planck) physics**
- * topology & thermodynamics are necessary ingredients, they are robust to perturbations**
- * if gravity is emergent, it must emerge together with all other physics (except maybe quantum mechanics)**
- * is quantum mechanics emergent? If yes, what is the scenario?**

Challenge:

origin of small residual vacuum energy

q-vacuum and structure of black-hole interior

q-theory and problem of stability of de Sitter vacuum

derivation of Standard Model from underlying discrete symmetry

origin of QM: if it is effective, its emergence should not depend on details